



Fecton GmbH,



easyGRC! addressing the challenges of the Asset Management Industry

- ▶ Increasing need to focus on governance, risk management, internal controls and compliance subjects as a consequence of the FinSA/FinIA regulations.
- ▶ Clients are getting less tolerant to intransparent processes. A sustainable and auditable approach to Governance, Risk and Compliance are the new minimum standards.
- ▶ Supporting advisory functions in their documentation duties regarding investment suitability, AML, KYC.
- ▶ Safeguarding complex valuation processes and NAV publications.
- ▶ Assuring risk and control management across outsourcing partners and suppliers.
- ▶ Clear roles and responsibilities are increasing efficiency, transparency and allow focus on the core activities.
- ▶ Growing expectations on digitalization by clients and employees, talents. Safeguarding „being in control“ in home office settings.



Fecton GmbH,



easyGRC! for Portfolio Managers and Trustees

Governance, Risk Management, Internal Control and Compliance

- ▶ Bringing GRC to life and adding value in your processes.
- ▶ Automatic distribution of control tasks, risk assessments and regular reporting.
- ▶ Easy risk reviews and control confirmations via e-mail and outlook tasks.
- ▶ Easy integration of outsourcing partners in your risk and control system.
- ▶ Auditable with historisation of data.
- ▶ Scalable from SMEs to large companies, available on SaaS-Client-Plattform.
- ▶ Integration in your existing Groupware (Outlook, Lotus Notes, Teams).
- ▶ Decentralised assessments and control confirmations, centralised monitoring.
- ▶ Supporting German, French and English, more languages easy to implement.
- ▶ User friendly, requiring very low training effort.

Additional Services by Resoluzium

In cooperation with Fecton GmbH we support clients in the asset and fund management industry in

- Setting up an **adequate internal control system including governance, compliance, risk management**;
- Providing **templates for small/medium-sized fund and asset management companies**;
- easyGRC on **SaaS-Platform for SME clients**;
- Defining the **appropriate risk matrix levels (frequency and impact)**;
- Providing **in-house project support**, including software implementation and training;
- Performing **annual review of the internal control system**;
- Facilitating periodic **risk and control self-assessment (RCSA)** with business units, senior management, boards;
- Providing adequate **risk monitoring and reporting**;
- Supporting **preparation for external audits, FINMA visits**;
- Business-oriented **risk management support in day-to-day business**.



Partner for Asset
Managers in Switzerland

RESOLUZIUM
regulatory and risk expertise

Fecton GmbH, **Reference Clients**



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